

# MINNESOTA CLIENT SECURITY BOARD

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ASSISTANT DIRECTOR

OFFICE OF  
APPELLATE COURTS

July 11, 2002

JUL 11 2002

Clerk of Appellate Courts  
Suite 305  
25 Constitution Avenue  
St. Paul, MN 55155

FILED

Re: Client Security Board Annual Report

Dear Clerk:

Enclosed for filing are thirteen copies of the Annual Report of the Minnesota Client Security Board.

The Board approved the report at its June 20, 2002, meeting.

Sincerely yours,



Edward J. Cleary  
Director

:jd

cc: Honorable James H. Gilbert (no enclosure)  
Richard Diamond (w/enclosure)

OFFICE OF  
APPELLATE COURTS

JUL 12 2002

FILED

C0-85-2205

**ANNUAL REPORT  
OF THE  
MINNESOTA  
CLIENT SECURITY BOARD**

**TIMOTHY J. KUNTZ**  
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South St. Paul, MN 55075  
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**ASSISTANT DIRECTOR**

July 2002

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I. **OVERVIEW AND YEAR IN REVIEW**

Rule 1.10, Minnesota Rules of the Client Security Board (MRCSB), provides:

At least once a year and at such other times as the Supreme Court may order, the Board shall file with the Court a written report reviewing in detail the administration of the fund, its operation, its assets and liabilities.

This fifteenth annual report of the Minnesota Client Security Board covers the Board's fiscal year, FY2002, which began July 1, 2001, and ended on June 30, 2002. Highlights from the past year include:

**Rule Change to Raise Maximum Payment.** As was identified in last year's annual report, in April 2001 the Client Security Board had filed a petition for a rule change with the Supreme Court, requesting that Rule 3.14(c), Minnesota Rules of the Client Security Board, be amended to increase the maximum amount the Board may pay on a claim from its current level of \$100,000 to \$150,000. In June 2001, the Minnesota State Bar Association at its annual convention had recommended approval of the Board's petition. The Supreme Court adopted the recommendation on September 14, 2001 (Appendix 1, A. 1). The Board did not need to make use of this new authority during this year.

**Reduction in Registration Fee.** The Board prepares an annual budget that is presented to the Court for approval in May of each year. The Court requires the Board to notify the Court if the balance of the Client Security Fund is to exceed \$2.5-million. The budget prepared for next year, FY2003, originally projected a balance by June 2004 (the Board's fiscal year runs from July 1 to June 30, and the Board must make two-year projections as part of its budgeting process) of over \$3.1-million. The Board decided to take a proactive approach and recommended to the Court that the portion of the attorney registration fee apportioned to the Fund be reduced by \$5 per attorney per year, from the then-current amount of \$17 to \$12. On May 28, 2002, the Court reduced the fee as requested, effective July 1, 2003

(Appendix 2, A. 2-5). At the end of next year, before the new reduced assessment takes effect, and assuming that claims continue at an amount consistent with past years, the Fund will have a year-end balance as of June 2003 of approximately \$2.78-million. With the reduced assessment, the Board now projects a balance as of June 2004 of \$2.8-million.

**Restitution/Subrogation.** Last year's annual report highlighted that the Board had successfully resolved several civil litigation matters involving third-party financial institutions. One significant case remained open into this year, involving claims that arose out of the actions of former attorney Peter I. Orlins. An action brought by two of his victims against a financial institution, and to whom the Board had previously paid the maximum amount of \$100,000 but who still had not been made whole, was settled during trial. The Board, represented by the Attorney General's office, received \$42,500 as a pro-rata share of the settlement. This matter was very complex and had been appealed once to the Court of Appeals and then remanded for trial. Along with regular payments received from several other former attorneys, the Board collected approximately \$75,000 on its subrogation rights this year.

**Statistics.** The Client Security Board paid out on 38 claims this year, in the total amount of \$330,116.55 (*see* Appendix 3, A. 6-7). Twenty-one of those claims were paid against one attorney, Steve Samborski, who was disbarred this past year. The \$223,794.50 paid against Samborski represented the fifth highest total amount paid against a lawyer in Minnesota history.

Thirty-two (32) new claims were filed this past year. Forty-four (44) claims were carried-over from the previous year. As noted, 38 claims were approved for

payment<sup>1</sup> and 14 claims were denied. Thus, at the end of June 2002, 24 claims against 24 lawyers remain pending before the Board. Eleven (11) of those remaining claims have related disciplinary or civil proceedings pending, completion of which the Board is awaiting prior to being able to resolve the claim.

Overall, after fifteen years of paying claims, the Board has now paid \$4.23-million on 355 claims against 98 lawyers (*see* Appendix 6, A. 10-14).

## II. THE CLIENT SECURITY BOARD AND ITS PROCEDURES

**Board Members.** As of June 30, 2002, the following individuals served on the Board (*see* Appendix 4; A. 12):

<u>Name</u>	<u>City</u>	<u>Term Expires</u>
Timothy J. Kuntz, Chair	South St. Paul	June 30, 2002 (second term)
Richard I. Diamond	Minnetonka	June 30, 2003 (second term)
Beverly K. McKinnell	St. Paul	June 30, 2002 (second term)
Judith A. Pinke	Minneapolis	June 30, 2004 (second term)
Michael T. Rengel	Fergus Falls	June 30, 2004 (first term)
John S. Watson	Minneapolis	June 30, 2003 (first term)
Margaret J. Westin	Minneapolis	June 30, 2002 (first term)

Tim Kuntz was elected and served as the Board's Chair for the past year, and has now completed his second and final term on the Board. Mr. Kuntz has been a consistent voice of reason on the Board, always searching for the basis upon which a consensus can be built, but also insisting upon an articulated reason behind each Board decision. Such quiet leadership will be missed. Pursuant to the Board's present policy of selecting the most senior lawyer member as its chair, the Board

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<sup>1</sup> Claims were paid against the following attorneys in the following amounts:

Steve C. Samborski - 21 claims	\$ 223,794.50
Robert W. Dygert - 3 claims	70,491.00
Samuel Mark Vaught - 1 claim	18,568.30
David L. Brehmer - 7 claims	7,656.25
Stanley Leino - 4 claims	6,306.50
Heidi H. Crissey - 1 claim	3,000.00
Richard G. Day - 1 claim	300.00

chose Richard I. Diamond as its new Chair for the upcoming year at its most recent meeting. Mr. Diamond has served on the Board for five years.

The Court this past year appointed Fergus Falls attorney Michael Rengel to the Board. Replacements for Mr. Kuntz and Ms. McKinnell will need to be found by the Court. The Minnesota State Bar Association (MSBA) nominates three of the attorney members; Mr. Kuntz was a direct Court appointee, however. Margaret Westin was nominated by the MSBA to a second three-year term and recently reappointed by the Court. The other two MSBA-nominated members are John Watson and Michael Rengel. Ms. McKinnell and Judith Pinke are the Board's two non-lawyer members. Ms. McKinnell has been an outstanding board member. The non-lawyer members of the Board have been excellent without exception. It is anticipated that Ms. McKinnell's successor will be named shortly.

Justice James Gilbert was appointed this year to be the Board's liaison justice on the Supreme Court. He attended the Board's most recent meeting and made a presentation to departing Board members. The Board looks forward to an on-going relationship with Justice Gilbert this coming year.

**Funding and Budget Procedures.** All active lawyers in Minnesota paid \$17 per year to support the Fund through the end of this current fiscal year. The Supreme Court's May 22, 1998, order implemented recommended parameters for the fund of \$1.5-million and \$2.5-million, with the Board to report to the Court if the fund drops below or exceeds these figures. The Board's recently filed budget for FY2003 projects a year-end balance in excess of the \$2.5-million amount, and projects a balance of near \$3-million after FY2004. As a result, the Board determined to recommend to the Court that the assessment in favor of the Board could be lowered by \$5 to \$12 per year per attorney. Mr. Kuntz so advised the Court at its recent meeting to approve the Board's budget, and the Court accepted

the recommendation starting with fiscal year 2004 (Attachment 2, A. 2-5). Thus the revised budget projects balances as of June 2003 of \$2.78-million and as of June 2004 of \$2.8-million. The Board will continue to keep a close eye on the Fund balance should any major defalcation matters arise in the next year.

The Board does not handle any funds directly nor the investment of the Fund. The assessment is collected through the Office of Attorney Registration and placed into a segregated fund within the State Treasury. This past year the assessment generated approximately \$333,000. The Board also received approximately \$100,000 in interest income and approximately \$75,000 in restitution payments from lawyers on whose behalf claims have been paid, or from third parties, including the settlement discussed in the highlights section.

The Board anticipates total income this coming year of approximately \$508,000. The Board has budgeted \$299,500 in total expenditures for next year. Based upon the information presently available to the Board concerning pending claims or known potential claims, \$250,000 has been budgeted for claims payment next year, less than the current year's total. Just less than \$50,000 has been budgeted for staff services, travel for up to two Board members to attend a national conference on client protection, and administrative supplies and any additional enhancements to the Board's computer database.

**Administration.** Since the Board's inception in 1986, the Office of Lawyers Professional Responsibility has provided staff services to the Client Security Board. Edward Cleary is the Director of the Office of Lawyers Professional Responsibility and the Client Security Board. Assistant Director Martin Cole handles most daily operations for the Board. Mr. Cole serves as a director-at-large of the National Client Protection Organization (NCPO), and is a member of the ABA's Advisory Commission on Client Protection Funds. Board member Richard Diamond recently

attended the ABA's eighteenth annual Client Protection Forum, held this year in Vancouver, British Columbia, Canada. Topics discussed included fact-finding and a workshop on resolving difficult claims. Involvement in such national-level activities allows the staff and Board to remain current with any trends or developments in the client protection field.

The Board maintains a computerized record-keeping system, for which several minor enhancements were undertaken and completed during the past year, allowing greater ability to answer queries from the Board, bar and public. Following each meeting, the Board issues a press release pursuant to the Board's policy. The Board posts its press releases on the Board's Internet website<sup>2</sup> as well, in the hopes that the public better will be able to obtain information about the Board's activities. The site also contains answers to frequently asked questions (FAQ), the Board's rules, claim form, staff directory, a copy of the latest annual report and an updated list of attorneys against whom claims have been paid, similar to that at Appendix 6 (A. 10-14). The site also has links to articles written about the Client Security Board. This past year, an article by Mr. Cole appeared in the July 2001 issue of *Bench & Bar* concerning the Board's request to raise the maximum payment per claim, and Mr. Cleary has written an article that will appear in the May/June 2002 *Bench & Bar* regarding the large sums paid by the Board as a result of the acts of a small group of lawyers.

The Minnesota Attorney General provides legal services to the Client Security Board in enforcing its subrogation rights against attorneys on whose behalf the Board has paid claims, or against any third persons from whom payments may be legally obtained. Attorney David Flowers is the Board's current attorney. He and Legal Assistant Laura Kelly provide outstanding representation for the Board.

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<sup>2</sup> The Client Security Board's Internet address is: [www.courts.state.mn.us/csb](http://www.courts.state.mn.us/csb).

Mr. Flowers began representation of the Board this past year, continuing a line of excellent attorneys assigned to the Board's work. The Board pays no attorney's fees for this representation, but is responsible for direct costs of collection efforts and litigation.

As noted in the highlights section, this past year continued to involve considerable activity in the area of subrogation and restitution, particularly against third-party entities, such as banks that were potentially negligent in overseeing accounts of attorneys known to have misused their trust accounts. The Attorney General's staff again had to spend considerably more time on these matters than in some previous years, but with remarkable success. The final amount to be recovered this year is \$75,685. The Board has budgeted this coming year to recover \$44,600.

Also as a part of the collection process, the Board may forward matters to the Minnesota Collections Enterprise (MCE), an agency created to assist government agencies in their collection work. Although the Attorney General continues to handle most matters for the Board that are to be contested, or that appear capable of prompt resolution, other matters are now referred to MCE to pursue.

**Rules of the Minnesota Client Security Board.** The Board's rules underwent one change this past year, with the Court's adoption of the proposed change in the Board's maximum payment to \$150,000. The Board's rules had not been amended since 1995. No claims were approved for the new maximum amount this past year.

**Claims Procedure.** Claims are initiated by submitting the claim to the Director's Office on forms approved by the Board. Claimants are provided the forms and a brochure to help explain the process. The claim form, and copies of the Board's rules are also available via the Internet at the Board's website. The

respondent attorney is provided an opportunity to respond in writing, although frequently no response is received. The Board also has access to all lawyer disciplinary files, from which considerable information is often obtained.

The rules provide that claimants are expected to pursue reasonably available civil remedies. In order to avoid hardship and provide prompt claim resolution and payment, the Board occasionally exercises its discretion by waiving this requirement when it is already known that the Attorney General will be pursuing litigation against the attorney under the Board's subrogation rights. One recurring situation where direct claimant efforts are appropriate is when the dishonesty involves forged instruments that were honored by a financial institution.

In most cases, attorney disciplinary proceedings will have been completed before any Client Security payment is made. The Board generally relies upon findings made in related lawyer disciplinary action concerning misappropriation, or in related civil or criminal cases whenever possible.

If a claim is denied, the claimant is notified in writing of the Board's determination and reasoning. The claimant has the right to request reconsideration and a discretionary meeting with the Board, so that all claimants have a full opportunity to present the merits of their claim.

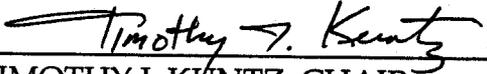
### **III. GOALS AND OBJECTIVES**

The Board's workload remains manageable, and there appear to be fewer complex subrogation issues on the immediate horizon. With a higher maximum payment available, but also with anticipating a reduced attorney registration assessment, the Board believes it is striking a responsible balance between victims in need of compensation and the financial pressures on practicing attorneys. A new Chair, one new lawyer member and one new public member no doubt will influence the Board's direction next year. The Board anticipates being able to keep

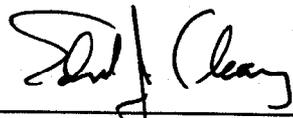
its attention on its core function of resolving claims promptly and paying victims of lawyer theft to the fullest possible amount.

Respectfully submitted,

Dated: July 3, 2002.

  
TIMOTHY J. KUNTZ, CHAIR  
MINNESOTA CLIENT SECURITY BOARD

Dated: July 10, 2002.

  
EDWARD J. CLEARY, DIRECTOR  
MINNESOTA CLIENT SECURITY BOARD

STATE OF MINNESOTA

IN SUPREME COURT

C0-85-2205

**IN RE AMENDMENT TO THE RULES OF  
THE MINNESOTA CLIENT SECURITY BOARD**

**ORDER**

The Minnesota Client Security Board filed a petition that recommended the amendment of Rule 3.14(c) of the Rules of the Minnesota Client Security Board to raise the maximum payment amount of a single claim from \$100,000 to \$150,000.

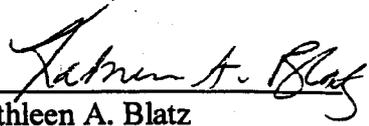
This court solicited public comment on the proposed amendment and is fully advised in the premises,

NOW, THEREFORE, IT IS HEREBY ORDERED:

1. Rule 3.14(c) of the Rules of the Minnesota Client Security Board is amended to read as follows:
  - c. The maximum amount that may be paid to any claimant for a single claim is ~~\$100,000~~ \$150,000. In exceptional circumstances, the Board may allow a greater or lesser amount based upon the factors set forth in subdivision (b) of this rule.
2. This amendment shall be applicable prospectively and to all unresolved claims filed with the Client Security Board as of the date of this order.

Dated: September 14, 2001

BY THE COURT:

  
Kathleen A. Blatz  
Chief Justice

STATE OF MINNESOTA

IN SUPREME COURT

C9-81-1206

**ORDER AMENDING THE RULES  
OF THE SUPREME COURT FOR  
REGISTRATION OF ATTORNEYS**

The Client Security Board has recommended to the Supreme Court that its allocated portion of the attorney registration fee be reduced by Five Dollars (\$5.00) for Fiscal Year 2004, beginning July 1, 2003, and

The Lawyers Professional Responsibility Board (LPRB) recommended to the Supreme Court that its Fiscal Year 2001, 2002 and 2003 allocated portions of the attorney registration fee be reduced by Ten Dollars (\$10.00). The Court in an order dated May 8, 2000 adopted this recommendation. The LPRB has now recommended to the Supreme Court that this allocated portion be restored in Fiscal Year 2004 along with a Ten Dollar (\$10.00) increase, for a total allocated portion of One Hundred Twenty Dollars (\$120.00) of the attorney registration fee. This proposed increase would be the first in seven years.

The court has reviewed the recommendations and is fully advised in the premises,  
**NOW, THEREFORE, IT IS HEREBY ORDERED:**

The attached amendments to the Rules of the Supreme Court for Registration of Attorneys are adopted, prescribed and promulgated to be effective for registration fees due on and after July 1, 2003.

Dated: May 28, 2002

BY THE COURT:

  
Kathleen A. Blatz  
Chief Justice

**RULES OF THE SUPREME COURT FOR  
REGISTRATION OF ATTORNEYS**

**RULE 2. REGISTRATION FEE**

A. In order to defray the expenses of examinations and investigation for admission to the bar and disciplinary proceedings, over and above the amount paid by applicants for such admission, with exception hereafter enumerated, each attorney admitted to practice law in this state and those members of the judiciary who are required to be admitted to practice as a prerequisite to holding office shall hereinafter annually pay to the clerk of the appellate courts a registration fee in the sum of ~~Two Hundred Dollars (\$200.00)~~ Two Hundred Fifteen Dollars (\$215.00) or in such lesser sum as the court may annually hereafter determine.

Such fee, or portion thereof, shall be paid on or before the first day of January, April, July or October of each year as requested by the clerk of the appellate courts. All sums so received shall be allocated as follows:

\$15.00 to the State Board of Law Examiners

\$10.00 to the State Board of Continuing Legal Education

~~\$100.00~~ \$120.00 to the Lawyers Professional Responsibility Board

~~\$17.00~~ \$12.00 to the Minnesota Client Security Fund

\$50.00 to the Legal Services Advisory Committee

\$8.00 to the Lawyer Trust Account Board for a lawyers assistance program.

An attorney who certifies that his or her gross income from all sources, excluding the income of a spouse, is less than Twenty-five Thousand Dollars (\$25,000.00) per year, shall pay a registration fee in the sum of ~~One Hundred Seventy-five Dollars (\$175.00)~~ One Hundred Ninety Dollars (\$190.00). The allocation to the Legal Services Advisory Committee shall be reduced by Twenty-five Dollars (\$25.00),

B. The following attorneys and judges shall pay an annual registration fee of ~~Ninety-four Dollars (\$94.00)~~ One Hundred Four Dollars (\$104.00):

(a) Any attorney or judge whose permanent residence is outside the State of Minnesota and who does not practice law within the state;

(b) Any attorney while on duty in the armed forces of the United States.

The ~~Ninety-four Dollars (\$94.00)~~ One Hundred Four Dollars (\$104.00) so received shall be allocated as follows:

\$15.00 to the State Board of Law Examiners

\$7.00 to the State Board of Continuing Legal Education

~~\$14.00~~ \$24.00 to the Lawyers Professional Responsibility Board

\$50.00 to the Legal Services Advisory Committee

\$8.00 to the Lawyer Trust Account Board for a lawyers assistance program.

An attorney who certifies that his or her gross income from all sources, excluding the income of a spouse, is less than Twenty-five Thousand Dollars (\$25,000.00) per year, shall pay a registration fee in the sum of ~~Sixty-nine Dollars (\$69.00)~~ Seventy-nine Dollars (\$79.00). The allocation to the Legal Services Advisory Committee shall be reduced by ~~Twenty-Five Dollars (\$25.00)~~.

C. Any attorney who has not been admitted to practice for more than three years shall pay an annual registration fee of ~~Eighty-nine Dollars (\$89.00)~~ Ninety-four Dollars (\$94.00).

The ~~Eighty-nine Dollars (\$89.00)~~ Ninety-four Dollars (\$94.00) so received shall be allocated as follows:

\$15.00 to the State Board of Law Examiners

\$10.00 to the State Board of Continuing Legal Education

~~\$14.00~~ \$24.00 to the Lawyers Professional Responsibility Board

~~\$17.00~~ \$12.00 to the Client Security Fund

\$25.00 to the Legal Services Advisory Committee

\$8.00 to the Lawyer Trust Account Board for a lawyers assistance program.

An attorney who certifies that his or her gross income from all sources, excluding the income of a spouse, is less than Twenty-five Thousand Dollars (\$25,000.00) per year,

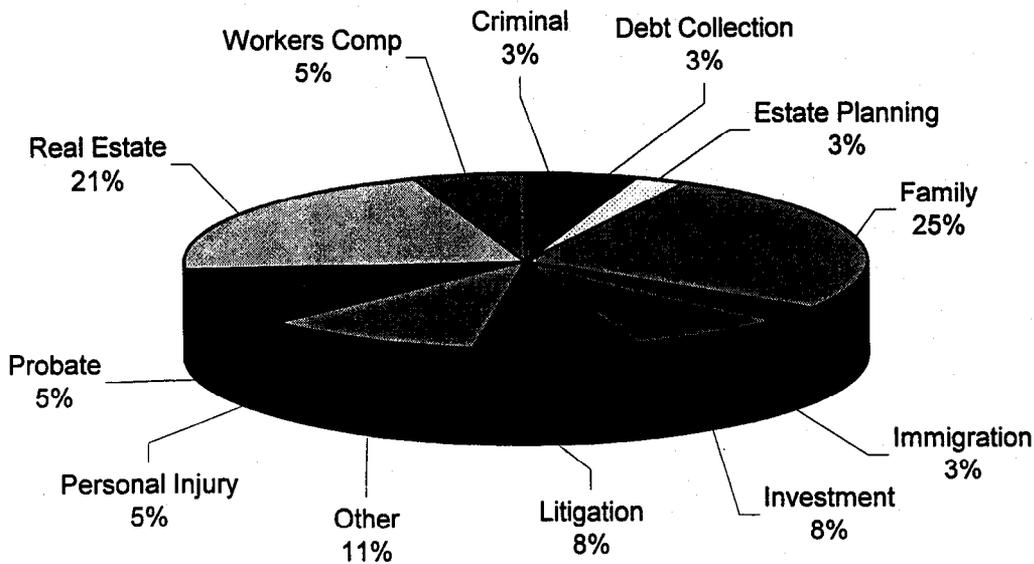
shall pay a registration fee in the sum of ~~Seventy-six Dollars and fifty cents (\$76.50)~~  
Eighty-one Dollars and fifty cents (\$81.50). The allocation to the Legal Services  
Advisory Committee shall be reduced by Twelve Dollars and fifty cents (\$12.50).

Any attorney who is retired from any gainful employment or permanently disabled, or who files annually with the clerk of the appellate courts an affidavit that he or she is so retired or disabled and not engaged in the practice of law, shall be placed in a fee-exempt category and shall remain in good standing. An attorney claiming retired or permanently disabled status who subsequently resumes active practice of law shall promptly file notice of such change of status with the clerk of the appellate courts and pay the annual registration fee.

**Awards of Reimbursement - July 1,2001  
through June 30,2002**

**This table summarizes, by area of law, all claims for reimbursement  
approved by the Board during fiscal year 2002.**

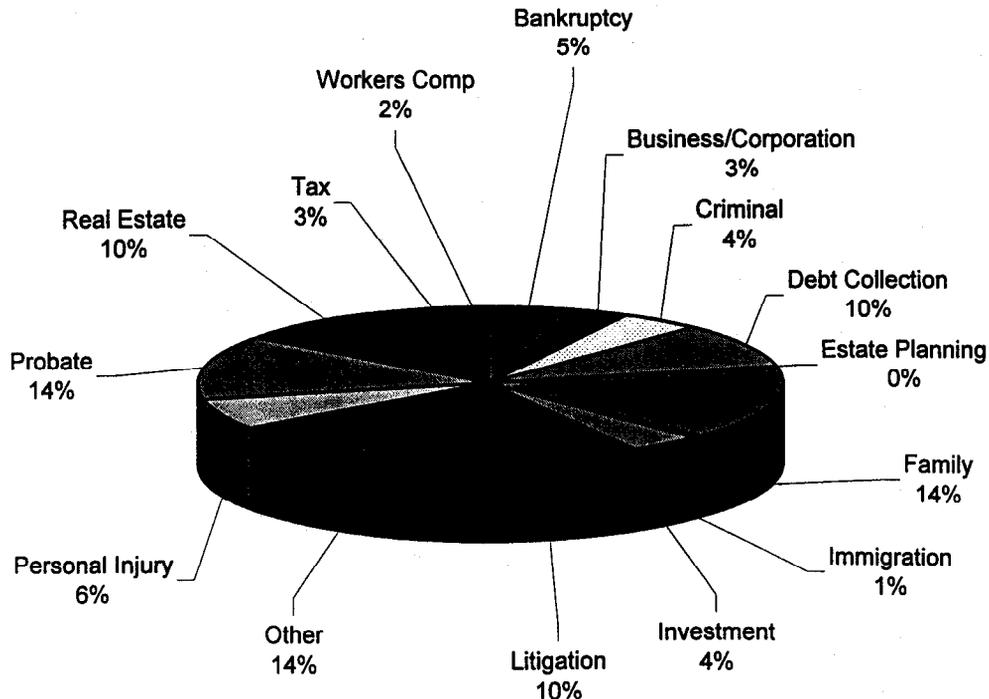
<b>Area of Law</b>	<b>Number of Awards</b>	<b>Amount of Award</b>
Criminal	1	\$3,000.00
Debt Collection	1	\$1,800.00
Estate Planning	1	\$35,500.00
Family	10	\$47,381.50
Immigration	1	\$500.00
Investment	3	\$70,491.00
Litigation	3	\$13,388.50
Other	4	\$17,082.00
Personal Injury	2	\$15,860.00
Probate	2	\$25,325.30
Real Estate	8	\$49,288.25
Workers Comp	2	\$50,500.00
<b>Total</b>	<b>38</b>	<b>\$330,116.55</b>



**Awards of Reimbursement - July 1,1987  
through June 30,2002**

**This table summarizes, by area of law, all claims for reimbursement  
approved by the Board since 1987.**

<b>Area of Law</b>	<b>Number of Awards</b>	<b>Amount of Award</b>
Bankruptcy	17	\$50,633.30
Business/Corporation	11	\$75,607.40
Criminal	15	\$105,929.69
Debt Collection	34	\$149,070.05
Estate Planning	1	\$35,500.00
Family	54	\$302,798.61
Immigration	2	\$1,500.00
Investment	14	\$741,013.67
Litigation	36	\$295,924.66
Other	48	\$314,995.63
Personal Injury	22	\$220,180.83
Probate	49	1,292,380.47
Real Estate	37	\$548,134.96
Tax	9	\$41,112.28
Workers Comp	6	\$57,837.29
<b>Total</b>	<b>355</b>	<b>\$4,232,618.84</b>



## CLIENT SECURITY FUND FINANCIAL HISTORY

Fiscal Year	Contribution by Bar	Investment Income	Restitution	Number of Claims Paid	Amt. Paid to Claimants	Other Expenses	Balance Year End
1989	93,318	79,049	0	21	236,016	24,068	876,791
1990	79,350	70,952	768	25	260,561	22,884	744,416
1991	137,851	66,264	39,249	23	235,316	28,905	723,559
1992	328,954	52,748	14,302	28	150,180	30,490	938,893
1993	353,560	49,156	12,104	16	200,681	33,170	1,119,862
1994	369,320	47,244	9,830	24	123,600	24,538	1,398,118
1995	349,424	85,075	37,075	14	62,421	25,471	1,781,800
1996	368,450	82,630	31,361	22	705,524	35,427	1,523,290
1997	375,730	94,547	23,797	12	103,073	27,207	1,887,084
1998	255,762	119,276	25,217	35	341,984	40,481	1,904,874
1999	325,207	118,078	200,416	42	413,231	35,575	2,099,769
2000	328,746	121,970	45,783	23	481,187	50,814	2,064,267
2001	333,805	134,507	80,028	14	99,073	61,436	2,452,098

# Minnesota Client Security Board Members 1987-2002

## CHAIRS

Melvin I. Orenstein	Minneapolis	1987-1993
Nancy L. Vollertsen	Rochester	1993-1995
Bailey W. Blethen	Mankato	1995-1997
Kim Buechel Mesun	St. Paul	1997-1999
Daniel L. Bowles	Edina	1999-2000
Daniel L. Rust	Crookston	2000-2001
Timothy J. Kuntz	South St. Paul	2001-2002

## BOARD MEMBERS

*Sister Mary Madonna Ashton	St. Paul	1992-1998
Bailey W. Blethen	Mankato	1991-1997
Daniel L. Bowles	Edina	1994-2000
*Sandra Brown	Minnetonka	1990-1996
Kim Buechel Mesun	St. Paul	1993-1999
Richard I. Diamond	Minnetonka	1997-
Gilbert W. Harries	Duluth	1987-1991
*Jean L. King	St. Paul	1987-1992
Timothy J. Kuntz	South St. Paul	1996-2002
Earle F. Kyle IV	Minneapolis	1993-1996
*Beverly K. McKinnell	St. Paul	1996-2002
Melvin I. Orenstein	Minneapolis	1987-1993
*Constance S. Otis	St. Paul	1987-1990
*Judith A. Pinke	Minneapolis	1998-
Michael T. Rengel	Fergus Falls	2001-
Daniel L. Rust	Crookston	1995-2001
Warren R. Sagstuen	Minneapolis	2000
Ronald B. Sieloff	St. Paul	1987-1994
James B. Vessey	Minneapolis	1987-1993
Nancy L. Vollertsen	Rochester	1987-1995
John S. Watson	Minneapolis	2000-
Margaret L. Westin	Minneapolis	1999-

\*Public Members

2002 CLAIMS PER ATTORNEY

Attorney	Number of Claims Paid	Total of Claims Paid	Disciplinary Action	City of Practice
Anderson, Harold W. E.	2	\$39,258.97	Disbarred	Grand Forks ND
Andrew, John M.	2	\$100,000.00	Disbarred	Shoreview
Barta, Loren M.	2	\$3,947.93	Suspended	Burnsville
Batdorf, Richard K.	1	\$50,000.00	Disbarred	Minneapolis
Benson, John T.	1	\$50,000.00	Disbarred	St. Paul
Bieter, Thomas J.	2	\$1,500.00	Disability	Duluth
Brehmer, David L.	7	\$7,656.25	Disbarred	Bloomington
Campbell, Dyan L.	5	\$2,953.53	Suspended	North St. Paul
Carpenter, Gregory A.	1	\$1,000.00	Suspended	Minneapolis
Chacon, Jeanne T.	1	\$700.00	Disbarred	St. Paul
Cohen, Sr., Edward M.	1	\$2,245.83	Disbarred	St. Louis Park
Crissey, Heidi H.	1	\$3,000.00	Suspended	Stillwater
Danna, Anthony A.	3	\$81,625.00	Disbarred	St. Paul
Davis, Daniel A.	3	\$44,486.66	Disbarred	Edina
Day, Richard G.	2	\$1,000.00	Suspended	Edina
Douglas, Bruce C. Deceased	11	\$225,309.60	None	Edina
Dovolis, Helen A.	15	\$68,317.19	Disbarred	Minneapolis
Dygert, Robert W.	3	\$70,491.00	Disbarred	Minneapolis
Erickson, Bruce E.	2	\$1,995.00	Suspended	Winona
Feldman, John H.	2	\$12,954.00	Disbarred	Minneapolis
Flanagan, John J.	6	\$113,626.59	Disbarred	St. Paul
French, Rodney M.	6	\$4,062.50	Suspended	Minneapolis

2002 CLAIMS PER ATTORNEY

Attorney	Number of Claims Paid	Total of Claims Paid	Disciplinary Action	City of Practice
Getty, Paris DonRay	5	\$24,278.00	Disbarred	St. Paul
Goldstein, Robert Mark	4	\$11,173.40	Disbarred	St. Paul
Gomsrud, Richard G.	1	\$700.00	Suspended	St Paul
Graham, Timothy E.	3	\$6,257.98	Disbarred	Edina
Grzybek, John E.	1	\$750.00	Disbarred	St. Paul
Gurstel, Norman K.	33	\$147,270.05	Disbarred	Minneapolis
Harp, Reynaud L.	2	\$3,702.00	Disbarred	St. Paul
Heikens, Steven G.	2	\$12,800.00	Suspended	Minneapolis
Heikkila, Neil D.	2	\$90,916.82	Disbarred	Hopkins
Hendricksen, Harald F.	2	\$17,875.00	Suspended	Glencoe
Henke, David E.	1	\$1,000.00	Suspended	Spring Lake Park
Hollender, R. Fred Deceased	1	\$2,227.74	None	Minneapolis
Hunter, James W.	5	\$21,900.00	Disbarred	Minneapolis
Isaacs, Clark F.	1	\$535.78	Disbarred	St. Paul
Johnson, Richard W.	2	\$9,362.00	Disbarred	Red Wing
Johnson, Ronald J.	1	\$7,196.71	Disbarred	Hopkins
Kinnunen, Steven J.	1	\$500.00	Suspended	Minneapolis
LaChapelle, Arthur W.	2	\$18,400.00	Disbarred	St. Paul
Ladd, William L.	13	\$49,542.60	Disbarred	Minneapolis
Larsen, Dean D.	1	\$40,000.00	Disbarred	Minneapolis
Leino, Stanley J.	6	\$9,921.06	Suspended	Scandia
Levenstein, Eli C.	1	\$368.00	Suspended	Minneapolis

2002 CLAIMS PER ATTORNEY

Attorney	Number of Claims Paid	Total of Claims Paid	Disciplinary Action	City of Practice
Logan, Diana Smith	3	\$560.00	Suspended	Minneapolis
Magadance, Pamela M.	1	\$585.00	None	New Richmond
Maresh, Thomas F.	1	\$6,500.00	Disbarred	St. Paul
Marshall, Gary L.	7	\$24,170.00	Disbarred	Hoffman
McCarthy, Justin H.	2	\$58,679.24	Disbarred	Rochester
McGrath, F. Patrick	1	\$1,128.00	Suspended	St. Paul
McNabb, Gerald	13	\$147,866.06	Disbarred	White Bear Lake
Merlin, Carol Sue	1	\$500.00	Suspended	Minneapolis
Moe, Carlton E.	1	\$89,325.52	Disbarred	St. Paul
Morgeson, Sr., Dennis JohnWyant, Bruce P.	8	\$547,922.67	Disability	Edina
Mose, William G.	2	\$400.00	Suspended	Minneapolis
Murphy, Gerald W.	9	\$4,980.99	Disbarred	Duluth
Olsen, Lawrence E.	1	\$50,000.00	Disbarred	Minneapolis
Orlins, Peter I.	11	\$419,843.39	Disbarred	Minneapolis
Ornstein, Mitchell Ross	1	\$919.75	Suspended	Minneapolis
Ostfield, Benjamin J.	3	\$15,297.72	Disbarred	Minneapolis
Ostroot, Timothy V.	1	\$1,200.00	Disbarred	Champlin
Palm, Dennis L.	2	\$4,080.00	Disability	St. Louis Park
Pang, Gary Y.	3	\$6,323.00	Disbarred	Minneapolis
Pearson, Kenneth R.	2	\$39,000.00	Disbarred	Minneapolis
Pegg, J. C.	1	\$2,500.00	Reprimanded	Minneapolis
Ploetz, John W.	2	\$108,494.71	Disbarred	St Paul

2002 CLAIMS PER ATTORNEY

Attorney	Number of Claims Paid	Total of Claims Paid	Disciplinary Action	City of Practice
Plowman, George E. Deceased	4	\$81,144.77	Disbarred	Harmony
Polt, Thomas M.	3	\$17,082.02	Disbarred	Rochester
Pucel, Cherylyn T.	1	\$3,500.00	Suspended	North St. Paul
Pyles, David A.	1	\$16,450.00	Suspended	Minneapolis
Ramler, George C.	1	\$1,500.00	Disbarred	Minneapolis
Randall, Michael H.	2	\$4,708.00	Disbarred	Minneapolis
Rothstein, Morry N.	3	\$7,500.00	Disbarred	Minneapolis
Ruttger III, Max J. Deceased	1	\$25,678.15	Disbarred	Brainerd
Samborski, Steve C.	21	\$223,794.50	Disbarred	Minnetonka
Sampson, Mark A.	20	\$404,742.04	Disbarred	Fridley
Scott, John O. Deceased	2	\$57,821.34	None	Perham
Seiler, Victor P. Deceased	1	\$2,810.77	None	Minneapolis
Sheffey, Ralph E.	1	\$5,000.00	Suspended	Rochester
Simonet, William B.	5	\$50,411.56	Disbarred	Stillwater
Simonson, Paul L.	1	\$2,360.23	Disbarred	Minneapolis
Singer, Michael G.	1	\$63,000.00	Suspended	Minneapolis
Skonnord, James T.	5	\$2,349.26	Suspended	St. Paul
Smith, Glenn L.	3	\$139,391.05	Disbarred	Edina
Soderberg, James W.	1	\$557.87	Suspended	Winona
Stockman, William L.	1	\$25,000.00	Disbarred	Duluth
Strid, Dennis W.	1	\$1,197.00	Suspended	Minneapolis
Sullivan, Kevin P. Deceased	1	\$200.00	Suspended	Elk River

2002 CLAIMS PER ATTORNEY

Attorney	Number of Claims Paid	Total of Claims Paid	Disciplinary Action	City of Practice
Swerine, Brian A.	8	\$23,645.40	Disbarred	Minneapolis
Thompson, Joel R.	2	\$6,160.00	Suspended	Detroit Lakes
Ulstad, Bjorn Deceased	1	\$2,500.00	None	St. Paul
Vaught, Samuel M.	1	\$18,568.30	Suspended	St Paul
Vinitzky, Richard S.	2	\$20,000.00	Disability	Golden Valley
Walker Jr., Samuel	5	\$19,945.00	Disbarred	St. Paul
Weems, Mark T.	7	\$70,901.64	Disbarred	Minneapolis
Wheat, Donald A.	3	\$37,841.70	Disbarred	Eagan
Wylde, John R Deceased	1	\$3,775.00	None	Minneapolis
Total Number of Respondents: 98	355	\$4,232,618.84		